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* Admitted in NY only
** Passed the Patent Bar Examination
*** Admitted in TX only

November 14, 2001

Box Missing Parts
Commissioner for Patents
Washington, D.C. 20231

Re: U.S. Patent Application Serial No. 09/777,556
Applicants: Richard A. Barry and Murat Azizoglu
Filed: February 5, 2001
Title: *FLEXIBLE OPTICAL ADD/DROP ARCHITECTURE*
Attorney Docket No.: SYCS-008

Dear Sir:

I enclose herewith for filing in the above-identified application the following:

1. Response to Notice To File Missing Parts of Application;
2. Executed Declaration, Petition and Power of Attorney;
3. Substitute drawings in compliance with 37 C.F.R. §1.84 (8 sheets)
4. Copy of Formalities Letter;
5. Checks for \$1764.00 for surcharge fee, the basic filing fee, and the additional claim fee; and
6. Return acknowledgment postcard.

Please charge any necessary fees to our Deposit Account No. 12-0080. The undersigned requests any extensions of time necessary to respond. A duplicate of this sheet is enclosed.

I hereby certify that this correspondence is deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Box Missing Parts, Washington, DC 20231 on:

November 14, 2001

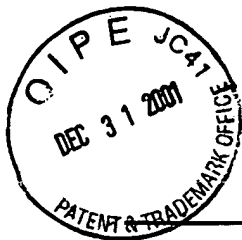
Date

Kevin J. Canning, Esq. Reg. No. 35,470

Respectfully submitted,

LAHIVE & COCKFIELD, LLP

Kevin J. Canning, Esq.
Reg. No. 35, 470



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the application of: Richard A. Barry and Murat Azizoglu

Serial No.: 09/777,556

Filed: February 5, 2001

For: *FLEXIBLE OPTICAL ADD/DROP ARCHITECTURE*

Attorney Docket No.: SYCS-008

Group Art Unit: 2633

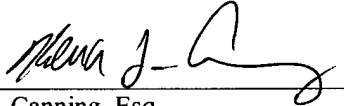
Examiner: Not Yet Assigned

BOX MISSING PARTS
Commissioner for Patents
Washington, D.C. 20231

Certificate of First Class Mailing (37 CFR 1.8(a))

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: BOX MISSING PARTS, Commissioner for Patents, Washington, D.C. 20231 on the date set forth below.

Date of Signature and of Mail Deposit

By: 
Kevin J. Canning, Esq.
Reg. No. 35,470
Attorney for Applicants

RESPONSE TO NOTICE TO FILE MISSING PARTS
OF NON-PROVISIONAL APPLICATION

Dear Sir:

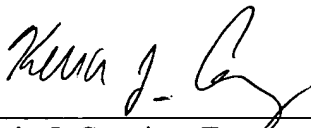
Responsive to the Notice to File Missing Parts of Non-Provisional Application dated September 14, 2001, Applicants' agent submits herewith the executed Declaration, Petition and Power of Attorney and substitute drawings in compliance with 37 C.F.R. §1.84 (8 sheets) for the above-identified patent application. A check in the amount of \$1764.00 is enclosed to cover the total amount owed by Applicant including \$130.00

surcharge, \$740.00 basic filing fee, and \$894.00 additional claim fee based on large entity status. A copy of the Formalities Letter is also enclosed.

The Commissioner is hereby authorized to charge payment of any fees under 37 C.F.R. 1.16 and 1.17 during the pendency of this application or credit any overpayment to Deposit Account No. 12-0080.

Please charge any underpayments or credit any overpayments associated with this communication to our Deposit Account No. 12-0080. A duplicate of this letter is enclosed.

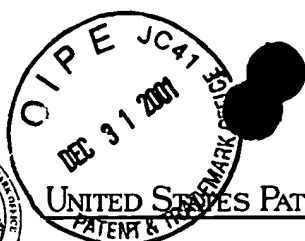
Respectfully submitted,

A handwritten signature in dark ink, appearing to read "Kevin J. Canning", is written over a horizontal line.

Kevin J. Canning, Esq.
Reg. No. 35,470
Attorney for Applicants

LAHIVE & COCKFIELD, LLP
28 State Street
Boston, MA 02109
Tel. (617) 227-7400

Dated: November 14, 2001



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/777,556	02/05/2001	Murat Azizoglu	SYCS-008

CONFIRMATION NO. 8971

FORMALITIES LETTER



OC000000006525876

000959
LAHIVE & COCKFIELD
28 STATE STREET
BOSTON, MA 02109

Date Mailed: 09/14/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

01/02/2002 SNINASS1 00000027 09777556

01 FC:101	740.00 OP
02 FC:103	558.00 OP
03 FC:102	336.00 OP
04 FC:105	130.00 OP

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$878.
 - \$558 for 31 total claims over 20.
 - \$320 for 4 independent claims over 3 .
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1718.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

A copy of this notice MUST be returned with the reply.

Jin

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

0977556-123401
"FEET"